

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re the Application of: **Takaaki NAGAI**

Filed : **Concurrently herewith**

For : **NON-VOLATILE SEMICONDUCTOR STORAGE...**

Serial No. : **Concurrently herewith**

JC997 U.S. PTO  
09/837734  
04/17/01

#3 | IDS  
9.20.01  
R. J. J.

Assistant Commissioner of Patents  
Washington, D.C. 20231

**INFORMATION DISCLOSURE STATEMENT**

S I R:

In order to comply with discretionary regulations 37 CFR 1.97 and 1.98, attached hereto is a copy of Form PTO-1449 and copies of the documents listed thereon. These documents contain information which the Examiner may consider to be important in deciding whether to issue a patent in the instant application.

As these documents are written in a language other than English, English language abstracts are annexed thereto explaining its relevancy in accordance with 37 CFR §1.98 (a)(3).

As this Statement is being filed prior to issuance of a first Office Action, no fee is due.

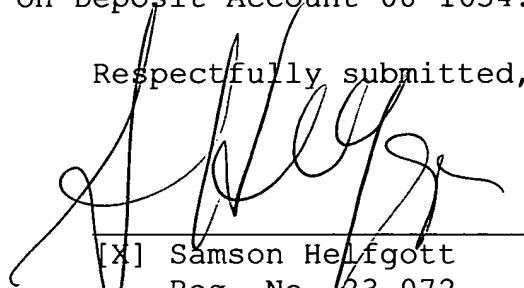
The present Disclosure Statement is being submitted in compliance with 37 CFR 1.56 as an Examiner might consider any cited document important in deciding whether to allow the application to issue as a patent, but the citation of each document is not to be construed as an admission that such document is necessarily relevant or prior art. No

representation is intended that the cited documents represent the results of a complete search, and it is anticipated that the Examiner in the normal course of examination, will make an independent search and will determine the best prior art consistent with 37 CFR 1.104 (a) and 1.106 (b), and in the course of such search will review for relevance every document cited on the attached form even if not initialed.

Early and favorable consideration is respectfully solicited.

Any fee due with this paper, not fully covered by an enclosed check, may be charged on Deposit Account 08-1634.

Respectfully submitted,

  
[X] Samson Helfgott  
Reg. No. 23,072  
[ ] Aaron B. Karas  
Reg. No. 18,923

HELFGOTT & KARAS, P.C.  
EMPIRE STATE BUILDING  
60TH FLOOR  
NEW YORK, NEW YORK 10118  
(212) 643-5000  
DOCKET NO.:NECF 18.591  
DATE: April 17, 2001

Filed Via Express Mail  
Rec. No.: EL522402659US  
On: April 17, 2001  
By: Brendy Lynn Belony  
Any fee due as a result of this paper,  
not covered by an enclosed check may  
be charged on Deposit Acct. No. 08-  
1634.